PTO/SB/21 (09-04) (AW 10/2004)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# RANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 + 2 References + 1 Chinese Office Action

Application Number	09/691,298	
Filing Date	October 18, 2000	
First Named Inventor	Junji Yoshida, et al.	
Art Unit	2665	
Examiner Name	Alpus Hsu	
Attorney Docket No.	MTS-3217US	

ENCLOSURES (Check all that apply)					
Fee Transmittal Form Fee Attached	Drawing(s)  Licensing-related Papers	After Allowance Communication to TC			
Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement (Supplemental)	Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer  Request for Refund	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  PTO Form 1449			
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	CD, Number of CD(s)  Landscape Table on CD  Remarks:	2 References 1 Chinese Office Action Return Postcard			
SIGNATURI	OF APPLICANT, ATTORNEY OR AG	ENT			
Firm Name Signature Printed Name Jack J. Jankovitz Date January 20, 2005 Registration No. 42,690					
CERTIFICATE OF TRANSMISSION / MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissione Tory atems, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:					
Signature	Signature				
Typed or Printed Name Jack J. Jankovitz		Date January 20, 2005			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 124 and 87 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/691,298

Applicant: Filed:

Junji Yoshida, et al. October 18, 2000

Title:

DATA SOURCE, DATA CONVERSION DEVICE, INVERSE DATA CONVERSION DEVICE, AUXILIARY DATA FILE GENERATION DEVICE, RECEPTION METHOD, MEDIUM

AND INFORMATION AGGREGATE

TC/A.U.:

2665

Examiner:

Alpus Hsu

Confirmation No.: 4620 Docket No.: MTS-:

MTS-3217US

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

## 1) CN 1224200

Reference 1) has a counterpart English language patent attached and was cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this Chinese Official

MTS-3217US PATENT

Action, with English language translation, indicating the degree of relevance determined by the foreign patent office, is submitted herewith. MPEP 609 IIIA(3), second paragraph.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

### **STATEMENT**

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Daniel N. Calder, Reg. No. 27,424 Jack J. Jankovitz, Reg. No. 42,690

Attorneys for Applicants

DNC/ds

Enclosures: PTO Form 1449 (2 sheets)

Chinese Official Action

(#2) References Transmittal Form

Dated: January 20, 2005

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 20, 2005

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Substitute for Form 1449A/PTO INFORMATION DISCLO STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known		
Application Number	09/691,298	
Filing Date	October 18, 2000	
First Named Inventor	Junji Yoshida, et al.	
Art Unit	2665	
Examiner Name	Alpus Hsu	
Attorney Docket No.	MTS-3217US	

U.S. PATENT DOCUMENTS					
		Document Number	0 1111-11-1	Name of Patricks on	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (If known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-6,801,340 B1 (w/ counterpart CN 1224200A)	10-05-2004	ENDO	
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	FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document	B hilliands a Bata	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	<b>T</b> 6
		CN 1224200A (w/counterpart US 6,801,340 B1)	07-28-1999	ENDO		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>\*</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

\*Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449B/PTO

## INFORMATION DISC STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known		
Application Number	09/691,298	
Filing Date	October 18, 2000	
First Named Inventor	Junji Yoshida, et al.	
Art Unit	2665	
Examiner Name	Alpus Hsu	
Attorney Docket No.	MTS-3217US	

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²	
		Chinese Official Action, mailed October 22, 2004 with English translation	$\boxtimes$	
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Examiner	Date	
Signature	Considered	

<sup>1</sup>Applicant's unique citation designation number (optional).

¹Applicant's unique citation designation number (optional).
²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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